

SEP 28 2004

PATENT &amp; TRADEMARK OFFICE

PTO/SB/01 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration  
Submitted With Initial  
Filing

OR

☒ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number 40715/296579

First Named Inventor Peng

COMPLETE IF KNOWN

Application Number 10/763,068

Filing Date January 22, 2004

Art Unit 1774

Examiner Name To Be Assigned

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MONODISPERSE CORE/SHELL AND OTHER COMPLEX STRUCTURED  
NANOCRYSTALS AND METHODS OF PREPARING THE SAME

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 1/22/2004 as United States Application Number or PCT International

Application Number 10/763,068 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

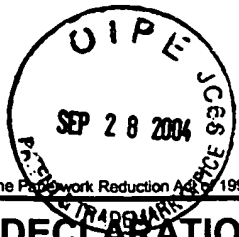
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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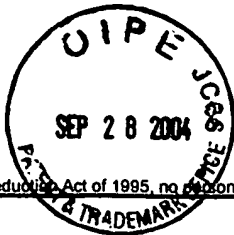


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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/> Customer Number <input type="text"/>				OR <input type="checkbox"/> Correspondence address below	
Name J. Clinton Wimbish					
Address 1001 West Fourth Street					
City Winston-Salem		State NC		ZIP 27101	
Country USA		Telephone 336-607-7300		Fax 336-607-7500	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) Xiaogang			Family Name or Surname Peng		
Inventor's Signature 			Date 9/16/04		
Residence: City Fayetteville		State AR	Country USA	Citizenship China	
Mailing Address 2668 Dewberry Court					
City Fayetteville		State AR	Zip 72701	Country USA	
NAME OF SECOND INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) Jianqing			Family Name or Surname Li		
Inventor's Signature			Date		
Residence: City Los Angeles		State CA	Country USA	Citizenship China	
Mailing Address 3110 Sawtelle Road, Apt. 305					
City Los Angeles		State CA	Zip 90066	Country USA	
<input type="checkbox"/> Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.					



PTO/SB/02A (08-03)

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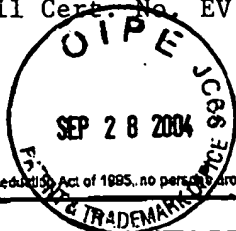
**DECLARATION****ADDITIONAL INVENTOR(S)  
Supplemental Sheet**

Page 3 of 3

<b>Name of Additional Inventor, if any</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
David		Battaglia	
Inventor's Signature <i>David Battaglia</i>		Date 9/16/04	
Residence: City Fayetteville	State AR	Country USA	Citizenship USA
Mailing Address 93 * Village Lake Dr., Apt. 5 DO			
Mailing Address			
City Fayetteville	State AR	ZIP 72703	Country USA
<b>Name of Additional Inventor, if any</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Y. Andrew		Wang	
Inventor's Signature <i>Andrew Wang</i>		Date 9/16/2004	
Residence: City Fayetteville	State AR	Country USA	Citizenship China
Mailing Address 3468 W. Yale Street			
Mailing Address			
City Fayetteville	State AR	Zip 72704	Country USA
<b>Name of Additional Inventor, if any</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Yunjun		Wang	
Inventor's Signature <i>Wang Yunjun</i>		Date 9/16/2004	
Residence: City Fayetteville	State AR	Country USA	Citizenship Canadian
Mailing Address <del>1400 N. Garland, Apt. #2A</del> 1764 N Leverett Ave. #263 YJW			
Mailing Address <i>YJW</i>			
City Fayetteville	State AR	Zip 72703	Country USA

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PTO/SB/01 (08-03)

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(37 CFR 1.16 (e))  
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Attorney Docket Number	40715/296579
First Named Inventor	Peng
COMPLETE IF KNOWN	
Application Number	10/763,068
Filing Date	January 22, 2004
Art Unit	1774
Examiner Name	To Be Assigned

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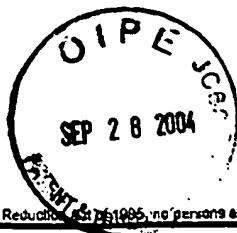
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Mailing Address 2668 Dewberry Court					
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NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Jiangqing			Family Name or Surname Li		
Inventor's Signature 				Date 9/16/2004	
Residence: City Los Angeles		State CA	Country USA	Citizenship China	
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